HANDLING RESEARCH MISCONDUCT ALLEGATIONS IN A GLOBAL CONTEXT

Session Objectives: The proposed one-day training session aims to familiarize participants with common issues and processes associated with handling allegations of research misconduct. Participants will acquire an in-depth understanding of common issues and themes, and, as members of working groups, will generate draft recommendations that could be adapted to diverse settings.

Presenters/Facilitators: (Dr. John Dahlberg, Dr. Susan Garfinkel, Ms. Zoë Hammatt, Dr. Nils Axelsen, Ms. Susan Zimmerman, Dr. Sun Ping, and Dr. Paul Taylor, and Professor Ragnvald Kalleberg).

9:00 – 9:15 Registration
9:15 – 9:45 Welcome and Introductions
9:45 – 13:00 Handling Research Misconduct Allegations in a Global Context: Universal Issues in Maximizing Fairness
9:45 – 10:15 Receiving an Allegation of Research Misconduct
10:15 – 10:30 Discussion
10:30 – 11:00 Improving Institutional Systems for Conducting Research Misconduct Proceedings
11:00 – 11:15 Discussion
11:15 – 11:30 Break
11:30 – 12:00 Ensuring an Adequate and Fair Hearing Process for Complainants/Respondents
12:00 – 12:15 Discussion
12:15 – 12:45 Ensuring Fairness in Notifications and Reporting (Funders, Journals, Regulators)
12:45 – 13:00 Discussion
13:00 – 14:00 Lunch
14:00 – 15:30 Interactive Group Session*

All groups will receive the same scenario describing a hypothetical research misconduct allegation.

Group 1 will emphasize Receiving an Allegation of Research Misconduct, and will draft a plan of action for an appropriate process, taking diverse settings into account.
Group 2 will emphasize *Improving Institutional Systems for Conducting Research Misconduct Proceedings*, and will draft recommendations relevant to diverse institutional settings.

Group 3 will emphasize *Ensuring an Adequate and Fair Hearing Process for Complainants/Respondents*, and will draft recommendations that can be adapted to various settings.

Group 4 will emphasize *Ensuring Fairness in Notifications and Reporting*, and will draft recommendations with potential for universal application.

15:30 – 16:15  **Group Presentations** (10 minutes each)
16:10 – 17:00  **Discussion and Concluding Remarks**

* Number of groups/topics TBD based upon number of registrants.