

Brazil, Rio de Janeiro

## U.S. Office of Research Integrity Training Session Sunday, May 31, 2015

## HANDLING RESEARCH MISCONDUCT ALLEGATIONS IN A GLOBAL CONTEXT

**Session Objectives:** The proposed one-day training session aims to familiarize participants with common issues and processes associated with handling allegations of research misconduct. Participants will acquire an in-depth understanding of common issues and themes, and, as members of working groups, will generate draft recommendations that could be adapted to diverse settings.

**Presenters/Facilitators:** (Dr. John Dahlberg, Dr. Susan Garfinkel, Ms. Zoë Hammatt, Dr. Nils Axelsen, Ms. Susan Zimmerman, Dr. Sun Ping, and Dr. Paul Taylor, and Professor Ragnvald Kalleberg).

9:00 - 9:15 **Registration** 

9:15 - 9:45 Welcome and Introductions

9:45 – 13:00 Handling Research Misconduct Allegations in a Global Context:

**Universal Issues in Maximizing Fairness** 

9:45 - 10:15 Receiving an Allegation of Research Misconduct

**10:15 - 10:30** Discussion

**10:30 –11:00** Improving Institutional Systems for Conducting Research Misconduct Proceedings

**11:00 - 11:15** Discussion

11:15 - 11:30 Break

**11:30 – 12:00** Ensuring an Adequate and Fair Hearing Process for

Complainants/Respondents

**12:00 - 12:15** Discussion

**12:15 – 12:45** Ensuring Fairness in Notifications and Reporting (Funders,

Journals, Regulators)

**12:45 – 13:00** Discussion

13:00 - 14:00 Lunch

14:00 - 15:30 Interactive Group Session\*

All groups will receive the same scenario describing a hypothetical research misconduct allegation.

**Group 1** will emphasize *Receiving an Allegation of Research Misconduct,* and will draft a plan of action for an appropriate process, taking diverse settings into account.

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**Group 2** will emphasize *Improving Institutional Systems for Conducting Research Misconduct Proceedings,* and will draft recommendations relevant to diverse institutional settings.

**Group 3** will emphasize *Ensuring an Adequate and Fair Hearing Process for Complainants/Respondents,* and will draft recommendations that can be adapted to various settings.

**Group 4** will emphasize *Ensuring Fairness in Notifications and Reporting*, and will draft recommendations with potential for universal application.

**15:30 – 16:15 Group Presentations** (10 minutes each)

16:10 - 17:00 Discussion and Concluding Remarks

<sup>\*</sup> Number of groups/topics TBD based upon number of registrants.